

TRANSMITTAL LETTER			Case No. 10022/187
Serial No. 09/975,460	Filing Date October 10, 2001	Examiner N/A	Group Art Unit 3629
Inventor(s) Fano et al.			
Title of Invention System, Method and Article of Manufacture for Location-Based Filtering for a Shopping Agent in the Physical World			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Second Preliminary Amendment; First Supplemental Information Disclosure Statement; Form PTO-1449; two cited references; and return post card.

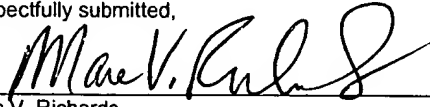
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+\$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant


BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 10-30-02

Date:

10/30/02

Signature:



RECEIVED  
NOV 06 2002  
GROUP 3600



I hereby certify that the correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on 10-30-02

Date of Deposit

Marc V. Richards, Reg. No. 37,921

Name of applicant, assignee or  
Registered Representative

*Marc V. Richards*

Signature

10-30-02

Date of Signature

*preliminary  
#8/C L. Lewis  
11-8-02*

Our Case No. 10022/187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )

FANO et al )

Application No. 09/975,460 )

Filed: October 10, 2001 )

For: System, Method and Article of )  
Manufacture for Location-Based )  
Filtering for a Shopping Agent in the )  
Physical World )

Examiner: N/A

Group Art Unit No. 3629

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to the examination on the merits, please enter the amendment below:

**In the Title:**

Please amend the title to read: "Location-Based Filtering for a Shopping Agent in the Physical World."

RECEIVED  
NOV 06 2002  
GROUP 3600